SEP 1 6 2002 2

Attorney Docket No. SDS-10002/28

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Sheldon D. Smith et al.

Attorney Docket No. SDS-10002/28

Serial No.:

10/054,310

Group Art Unit: 2681

Filing Date:

January 22, 2002

For:

ENERGY CONSERVATION SYSTEM

PETITION UNDER 37 CFR 1.47A

RECEIVED

Assistant Commissioner for Patents Washington, D.C. 20231

SEP 2 3 2002

OFFICE OF PETITIONS

Dear Sir:

The inventors of the above-identified application, Sheldon D. Smith, Harold B. Kennedy, and Donald Seefried, and assignee DBS Lodging Technologies, LLC, respectfully petition to have the above-identified application accepted absent the signature of a joint inventor, Shary Nassimi, who has refused to join in the application:

- 1. The above-identified application claims the benefit of U.S. Provisional Application 60/263,670 filed January 23, 2001.
- 2. Prior to January 23, 2001, the four joint inventors of the above-identified application, Sheldon D. Smith, Shary Nassimi, Harold B. Kennedy, and Donald Seefried, worked together to develop the instant invention. None of the four inventors had any obligation to assign their rights to any other entity.

USSN 10/054,310 - Page 2

3. All four joint inventors have duly assigned all their interests in the invention to

DBS Lodging Technologies, Inc. The assignment is recorded at Reel/Frame 012699/0915

(Exhibit 1). In turn, DBS Lodging Technologies, Inc. has assigned their rights to DBS

Lodging Technologies, LLC. The assignment is recorded at Reel/Frame 012860/0822

(Exhibit 2).

4. Thereafter, I, Avery N. Goldstein, an attorney for petitioners, caused the

above-identified application to be filed and have attempted to obtain the consent and

signature of Shary Nassimi.

5. Specifically, Shary Nassimi reviewed the application, commented on, and

approved the filing thereof as evidenced by the attached email correspondence (Exhibit 3).

Subsequent to application filing, a Declaration corresponding to the filed application was

faxed to his home at (360) 887-8512 as evidenced by the attached confirmed receipt facsimile

(Exhibit 4). I spoke with Mr. Nassimi at his work telephone number, (360) 254-1564

extension 102, on September 12, 2002. Mr. Nassimi indicated that he is unwilling to sign a

Declaration for the application at this time.

6. The last known address for Mr. Shary Nassimi is:

Mr. Shary Nassimi NE 127th Avenue, 5913C Vancouver, WA 98682

7. Attached herewith is a copy of the Declaration and Power of Attorney bearing

the signatures of Sheldon D. Smith, Harold B. Kennedy, and Donald Seefried (Exhibit 5).

USSN 10/054,310 - Page 3

The signature for Shary Nassimi is blank as a result of their refusal to sign. Therefore, the

Declaration should be treated as having been signed by all available inventors on behalf of

the non-signing inventors. See MPEP 409.03A.

In view of the refusal of Shary Nassimi to join in the present application, 8.

inventors Smith, Kennedy and Seefried, and assignee DBS Lodging Technologies, LLC, are

entitled to make such application on behalf of themselves and Nassimi pursuant to 37 CFR

It is submitted that the assignee, DBS Lodging Technologies, LLC will be

irreparably harmed if the above referenced application goes abandoned due to the refusal of

an inventor to duly execute a Declaration for the wholly assigned and recorded application.

Therefore, in view of the above information and facts, the inventors and assignee respectfully

request that this petition be granted.

Respectfully submitted,

Date: September 16, 2002

Avery N. Goldstein, Reg. No. 39,204

Gifford, Krass, Groh, Sprinkle, Anderson & Citkowski, P.C.

280 N. Old Woodward, Suite 400

Birmingham, MI 48009

(248) 647-6000

ANG/jk Enclosures AVERY N. GOLDSTEIN

BIRMINGHAM, MI 48009-5394





UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS

PTAS GIFFORD, KRASS, GROH, SPRINKLE ETAL 280 N. OLD WOODWARD AVENUE SUITE 400

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Weshington, D.C. 20231

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/16/2002

REEL/FRAME: 012699/0915

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SMITH, SHELDON D.

DOC DATE: 01/25/2001

ASSIGNOR:

NASSIMI, SHARY

DOC DATE: 01/25/2001

ASSIGNOR:

KENNEDY, HAROLD B.

DOC DATE: 01/26/2001

ASSIGNOR:

SEEFRIED, DONALD

DOC DATE: 01/26/2001

ASSIGNEE:

DBS LODGING TECHNOLOGIES INC. 241 RIDGEMONT GROSSE POINTE, MICHIGAN 48236

SERIAL NUMBER: 10054310

PATENT NUMBER:

FILING DATE: 01/22/2002

ISSUE DATE:

012699/0915 PAGE 2

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Dockef No.: SDS-10002/28

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GIFFORD KRASS ET AL → 17033065995 05/16/2002 SEP 1 6 2002

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Cours No. 0651-0027 Brock/Providents	ORM COVER SHEET U.S. DEPARTMENT OF COMMERCE Patient and Trademark Office
PAIEN	TS ONLY Patient and Trademark Office
To the Honorable Commissioner of Patents and Today	<u> </u>
1. Name of conveying party(ies): Sheldon D. Smith Shary Nassimi Harold B. Kennedy Donald Sceffied	Please record the attached original documents or copy thereof. 2. Name and address of receiving party(les): Name: DBS Lodging Technologies, Inc. Address: 241 Ridgement
Additional names(s) of conveying party(les) attached?	
3. Nature of conveyance:	
S Assignment	
☐ Security Agreement ☐ Change of Name	City: Grosse Pointe State/Prov.: MI
Other	Country: <u>US</u> <u>ZIP</u> : <u>48236</u>
Execution Date: 1-25-01, 1-25-01, 1-26-01, 1-26-01	Additional name(s) & address(es) attached? Ves & No
If this document is being filed together with a new application Patent Application No. Filing date 10/054,310 January 22, 2002	B. Patent No.(s)
	tached? 🔲 Yes 🗷 No
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1
Name: Avery N. Goldstein	7. Total fee (37 CFR 3.41):\$ 40.00
Registration No. 39,204 Address: Gifford, Krass, Grob, Sprinkle	☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
Anderson & Citkowski, P.C.	Authorized to be charged to deposit account
280 N. Old Woodward Avenue, Suite 400	8. Deposit account number:
City: Birmingham State/Prov.: MI	07-1180
Country: US ZIP: 48009-5394	(Altrach duplicate copy of this page if paying by deposit account)
DO NOT (ISE THIS SPACE
or the original document.	ation is true and correct and any attached copy is a true copy
Avery N. Goldstein	A. Holdston May 16, 2000
Name of Person Signing	Signature

ASSIGNMENT

In consideration for One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, we, Sheldon D. Smith of Grosse Pointe Farms, Michigan; Shary Nassimi of Vancouver, Washington; Harold B. Kennedy of Orange, California; and Donald Seefried of Palm Desert, California have invented ENERGY CONSERVATION SYSTEM, as disclosed in a provisional application filed by the law firm of Gifford, Krass, Groh, Sprinkle, Anderson & Citkowski, P.C., 280 N. Old Woodward Avenue, Suite 400, Birmingham, Michigan 48009-5394, to such provisional application (Atty. File No. SDS-10018/28), and all domestic and foreign applications thereto including continuations, divisionals, reexamination certificates and the like, and the right to receive any patents issuing thereon, to DBS Lodging Technologies, Inc., having its principal place of business at 241 Ridgemont, Grosse Pointe, Michigan 48236.

We hereby agree to execute any and all papers, including confirmatory assignments and the like, necessary to perfect title to such assigned subject matter to DBS Lodging Technologies, Inc., at the expense of DBS Lodging Technologies, Inc.

We hereby represent and warrant that we have not granted any rights inconsistent with the rights granted herein.

We hereby authorize our attorneys, Gifford, Krass, Groh, Sprinkle, Anderson & Citkowski, P.C., a firm composed of Ernest I. Gifford, P.O. Reg. No. 20,644; Allen M. Krass, P.O. Reg. No. 18,277; Irvin L. Groh, Reg. No. 17,505; Douglas W. Sprinkle, Reg. No. 27,394; Thomas E. Anderson, P.O. Reg. No. 31,318; Ronald W. Citkowski, P.O. Reg. No. 31,005; Judith M. Riley, Reg. No. 31,561; Douglas J. McEvoy, P.O. Reg. No. 34,385; Ellen S. Cogen, Reg. No. 38,109; Roberta J. Morris, P.O. Reg. No. 33,196; John G. Posa, P.O. Reg. No. 37,424; Avery N. Goldstein, P.O. Reg. No. 39,204; Douglas L. Wathen, P.O. Reg. No. 41,369; Mark D. Schneider, P.O. Reg. No. 43,906; and Elisabeth S. Sussex, P.O. Reg. No. 46,931, all members in good standing before the State Bar of Michigan, having their address at 280 N. Old Woodward Ave., Suite 400, Birmingham, Michigan 48009-5394, to here insert the filing date and serial number of the said application, as soon as it is known. Serial No. 60/263,670 , filed January 23 , 2001.

Date: 1-25-01	alden D. So
Date: 1.25.01	Sheldon D. Smith
Date:	Shary Nassimi
Date:	Harold B. Kennedy
	Donald Seefried

ASSIGNMENT

In consideration for One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, we, Sheldon D. Smith of Grosse Pointe Farms, Michigan; Shary Nassimi of Vancouver, Washington; Harold B. Kennedy of Orange, California; and Donald Seefried of Palm Desert, California have invented ENERGY CONSERVATION SYSTEM, as disclosed in a provisional application filed by the law firm of Gifford, Krass, Groh, Sprinkle, Anderson & Citkowski, P.C., 280 N. Old Woodward Avenue, Suite 400, Birmingham, Michigan 48009-5394, to such provisional application (Atty. File No. SDS-10018/28), and all domestic and foreign applications thereto including continuations, divisionals, reexamination certificates and the like, and the right to receive any patents issuing thereon, to DBS Lodging Technologies, Inc., having its principal place of business at 241 Ridgemont, Grosse Pointe, Michigan 48236.

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We hereby authorize our attorneys, Gifford, Krass, Groh, Sprinkle, Anderson & Citkowski, P.C., a firm composed of Ernest I. Gifford, P.O. Reg. No. 20,644; Allen M. Krass, P.O. Reg. No. 18,277; Irvin L. Groh, Reg. No. 17,505; Douglas W. Sprinkle, Reg. No. 27,394; Thomas E. Anderson, P.O. Reg. No. 31,318; Ronald W. Citkowski, P.O. Reg. No. 31,005; Judith M. Riley, Reg. No. 31,561; Douglas J. McEvoy, P.O. Reg. No. 34,385; Ellen S. Cogen, Reg. No. 38,109; Roberta J. Morris, P.O. Reg. No. 33,196; John G. Posa, P.O. Reg. No. 37,424; Avery N. Goldstein, P.O. Reg. No. 39,204; Douglas L. Wathen, P.O. Reg. No. 41,369; Mark D. Schneider, P.O. Reg. No. 43,906; and Elisabeth S. Sussex, P.O. Reg. No. 46,931, all members in good standing before the State Bar of Michigan, having their address at 280 N. Old Woodward Ave., Suite 400, Birmingham, Michigan 48009-5394, to here insert the filing date and serial number of the said application, as soon as it is known. Serial No. 60/263,670 , filed January 23 , 2001.

Date:	
	Sheldon D. Smith
Date:	
	Shary Nassimi
Date: 1-24-01	Hanld Kengedy
4	Harold B. Kennedy
Date: 26 JAN 2001	Jonas & Sufred
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UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

GIFFORD, KRASS, GROH, SPRINK, ANDERSON AVERY N. GOLDSTEIN 280 N. OLD WOODWARD AVENUE SUITE 400 BIRMINGHAM, MI 48009-5394

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UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 07/08/2002

REEL/FRAME: 012860/0822

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

DBS LODGING TECHNOLOGIES, INC.

DOC DATE: 06/01/2002

ASSIGNEE:

DBS LODGING TECHNOLOGIES, LLC 241 RIDGEMONT GROSSE POINTE, MICHIGAN 48236

SERIAL NUMBER: 10054310

PATENT NUMBER:

FILING DATE: 01/22/2002

ISSUE DATE:

SHARON BROOKS, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS 07/08/2002 14:45



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		Name: DBS Lo	dging Techno	logies, LLC	
		Address: 241 R	idgemont		
Additional names(s) of conveying party(les)	☐ Yes ☒ No				
3. Nature of conveyance:					
☑ Assignment □	Merger				
☐ Security Agreement ☐	Change of Name	City: Grosse Poin	nte	Shade (D	
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Name: Avery N. Goldstein					
Registration No. 39,204		7. Total fee (37 CFR	3.41):	\$ 40.00	
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Anderson & Citkowski, P.C.		creatied or de.	bited to depos	sit account	
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ASSIGNMENT

WHEREAS, **DBS Lodging Technologies**, **Inc.**, having its principal place of business at 241 Ridgemont, Grosse Pointe, Michigan 48236, is the owner of the invention **ENERGY CONSERVATION SYSTEM** of the said patent applications by way of an assignment, recorded in the Assignment Branch of the United State Patent Office on 05/16/2002, reel/frame: 012699/0915, and

WHEREAS, **DBS Lodging Technologies**, **L.L.C.**, a Michigan Limited Liability Company ID Number B87581, having its principal place of business at 241 Ridgemont, Grosse Pointe, Michigan 48236, desires to acquire the entire right, title and interest in and to said invention:

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable considerations, receipt whereof is hereby acknowledged, we, **DBS Lodging Technologies**, **Inc.**, by these presents do sell, assign and transfer unto said company, its successors and assigns, all right, title and interest in the United States of America and all foreign countries in and to said invention as described in the patent application known as, **Serial No. 60/263,670** filed January 23, 2001 executed by Sheldon D. Smith and Shary Nassimi on the 25 day of January, 2001, and Harold B. Kennedy and Donald Seefried on the 26 day of January, 2001, all inventors, and to any improvements on said invention heretofore or hereafter, and any division, continuation, renewal, or substitute thereof, of said application, and all Patents, United States and foreign, granted upon any such applications or for the inventions described therein, and any reissues or extensions of said Patents (including U.S. utility patent application Serial No. **10/054,310** filed 01/22/2002, and PCT application Serial No. **PCT/US02/01732** filed 01/23/2002); and we hereby authorize and request the Commissioner of Patents to issue all Patents on said United States applications to said company as assignee thereof.

FOR SAID CONSIDERATIONS, we hereby covenant and agree that we are the owner of the full title herein assigned and have the right to assign the same, and agree that we will communicate to said corporation or its representatives, any facts known to us respecting said invention or inventions and testify in any legal proceedings relating thereto when called upon, and will sign all instruments and documents and render such assistance which in the judgment of said corporation is necessary to vest in it and protect the legal title sought to be assigned.

DBS LODGING TECHNOLOGIES, INC.

Date: 6-/-02

Sheldon D. Smith

Title: Page O.S

Avery GoldStein

From:

Shary Nassimi <iei@internationalelect.com>

To: Cc: Sheldon Smith <ssmith@entergize.net>

<agoldstein@patlaw.com>

Sent:

Monday, January 22, 2001 2:07 PM

Subject:

Re: SDS-10018/28

Dear Sheldon,

Reviewed the attachment. Have a couple of ideas;

- in the last part of the document, where is stated that the range is 70 feet... I think that it should not state a range, but verbiage such as "range is limited by controlling transmission power to minimize overlap".
- Also, I did not see much about "Coding" of the units, which establishes that rooms do not conflict with one
- In the beginning portions, there is verbiage about the benefits, and differences between this and the "Wired" types. I think it may also benefit to state the usage of microprocessors to make the units more flexible, programmable and usable for the customer.

everything else looks great!

---- Original Message -----From: Sheldon Smith

To: Shary Nassimi

Sent: Monday, January 22, 2001 10:24 AM

Subject: Fw: SDS-10018/28

The attached file contains the draft of the patent application.

I would appreciate your reviewing the attached and giving your comments to Avery Goldstein, Ph. D. His number is - 1-248-647-6000.

I will also send you a letter latter today which documents our arrangement to sell you 5% of DBS for \$1.00.

Your assistance is appreciated

Sheidon

---- Original Message -----

From: Janice Kuehn To: Sheldon D. Smith

Cc: Avery Goldstein; Julie Greenberg Sent: Friday, January 19, 2001 3:16 PM

Subject: Fw: SDS-10018/28

Mr. Smith, my apologies for sending without the attachment!

---- Original Message ----From: Janice Kuehn

To: Sheldon D. Smith

Cc: Avery Goldstein; Julie Greenberg Sent: Friday, January 19, 2001 3:13 PM

Subject: SDS-10018/28

Dear Mr. Smith:

Attached is a draft of a provisional patent application for "Energy Conservation System" for your review. A formal letter is being mailed to you today along with a hard copy of the draft and the related drawings. Once you have had a chance to review the documents, please contact Avery Goldstein or Julie Greenberg. Our office telephone number is: 248-647-6000. Avery's e-mail address is: agoldstein@patlaw.com and Julie's e-mail address is: igreenberg@patlaw.com

Julie's e-mail address is: jgreenberg@ Very truly yours, Janice R. Kuehn Legal Assistant to Avery N. Goldstein Gifford, Krass et al.

MESSAGE CONFIRMATION

08/28/2002 14:38 ID=GIFFORD KRASS ET AL

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GIFFORD, KRASS, GROH, SPRINKLE, ANDERSON & CITKOWSKI, P.C. 280 North Old Woodward Avenue, Suite 400 Birmingham, Michigan 48009-5394

> (248) 647-6000 FACSIMILE (248) 647-5210 agoldstein@patlaw.com

FACSIMILE TRANSMISSION

Sender's Initials ang

TO: Shary Nassimi

FACSIMILE NO.: (360) 887-8512

FROM: Avery Goldstein

GIFFORD, KRASS, GROH, SPRINKLE, ANDERSON & CITKOWSKI, P.C. 280 North Old Woodward Avenue, Suite 400 Birmingham, Michigan 48009-5394

(248) 647-6000 FACSIMILE (248) 647-5210 agoldstein@patlaw.com

FACSIMILE TRANSMISSION

Sender's Initials ang

TO: Shary Nassimi

FACSIMILE NO.: (360) 887-8512

FROM: Avery Goldstein

PAGES TRANSMITTED (INCLUDING COVER SHEET): 5

ORIGINAL DOCUMENTS WILL WILL NOT K FOLLOW BY MAIL

RE: Patent Application Serial No. 10/054,310 ENERGY CONSERVATION SYSTEM

MESSAGE: Shary-

This application names you as an inventor. Please review and sign on page 3 of 4 if acceptable and return by fax or mail. If there is a problem with your signing, I would appreciate that you contact me at your earliest convenience.

Thank you in advance for your efforts.

Avery Goldstein

Information contained in this facsimile may be PRIVILEGED and CONFIDENTIAL. It is intended only for the use of the person or entity named above. If you are not the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this communication is neither intended nor permissible. If this facsimile has been received in error, please notify us immediately (call collect) and return the facsimile to us.

Docket No. SDS-10002/28

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **ENERGY CONSERVATION SYSTEM**

the specification of	of which		
(check one)			
☐ is attached he	reto.		
■ was filed on ■	January 22, 2002	as United States Application No.	or PCT International
Application No	umber 10/054,310		·
and was ame	nded on		
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•		nderstand the contents of the above in amendment referred to above.	dentified specification,
I acknowledge th known to me to Section 1.56.	e duty to disclose to the be material to patental	United States Patent and Trademark bility as defined in Title 37, Code of	Office all information Federal Regulations,
Section 365(b) o any PCT Internat listed below and	f any foreign application ional application which d have also identified belo ate or PCT International	under Title 35, United States Code, n(s) for patent or inventor's certificate esignated at least one country other tow, by checking the box, any foreign application having a filing date before	, or Section 365(a) of han the United States, pplication for patent or
Prior Foreign App	olication(s)		Priority Not Claimed
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60/263,670	January 23, 2001	
(Application Serial No.)	(Filing Date)	
(Application Serial No.)	(Filing Date)	
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nsofar as the subject matter of ear United States or PCT International U.S.C. Section 112, I acknowledge Office all information known to me	ach of the claims of this ap application in the manner is the duty to disclose to the to be material to patental	g the United States, listed below ar plication is not disclosed in the pr provided by the first paragraph of United States Patent and Tradema pility as defined in Title 37, C. F. I
nsofar as the subject matter of ear United States or PCT International U.S.C. Section 112, I acknowledge Office all information known to me	ach of the claims of this ap application in the manner p the duty to disclose to the to be material to patental the between the filing date of	plication is not disclosed in the pr provided by the first paragraph of United States Patent and Tradema
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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